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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Application of: Tasadduq Hussain

Appeal No.:

Serial No.: 10/675,622

Filing Date: September 30, 2003

Confirmation No.: 6350

Title: METHOD OF AN APPARATUS  
FOR BLOWING PLASTIC  
CONTAINERS

Attorney Docket: 17416-01

Group Art Unit: 1732

Examiner: S. Staicovici

**CERTIFICATE OF MAILING**

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**APPEAL BRIEF**

Applicant has appealed the rejection of claims 28-33. Notice of Appeal with appropriate fee was filed on December 22, 2006, this Appeal Brief therefore being due on February 22, 2007.

Please charge the additional appeal fee, together with any other charges or fees associated with this submission, to Account No. 15-0875 (Owens-Illinois).

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**1. Real Party In Interest**

This application is assigned to Owens-Illinois HealthCare Packaging Inc., which is wholly owned subsidiary of OI Plastic Products FTS Inc., which is a wholly owned subsidiary of Owens-Illinois Group, Inc., which is a wholly owned subsidiary of Owens-Illinois, Inc., who is the real party in interest in this appeal.

**2. Related Appeals and Interferences**

There are no related appeals or interferences.

**3. Status of Claims**

Claims 28-33 have been rejected and are the subject of this appeal.

Claims 1-8 and 27 have been canceled.

Claims 9-26 have been withdrawn.

No claims are allowed.

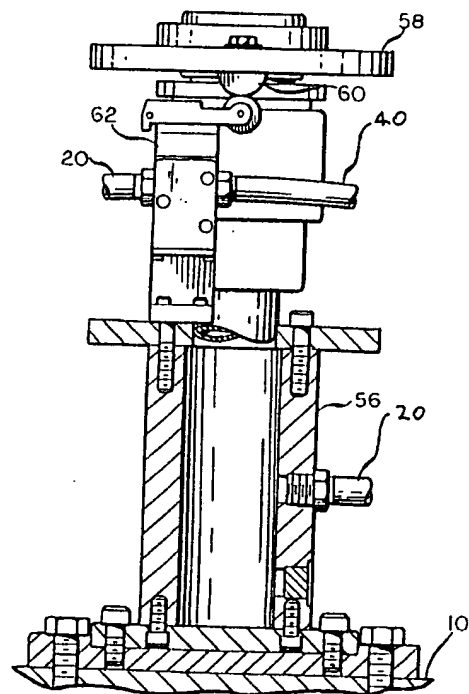
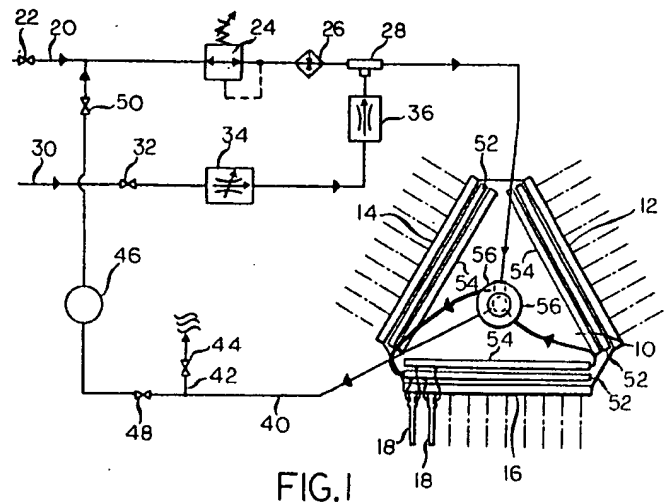
**4. Status of Amendments After Final Rejection**

No amendments after final rejection have been filed.

**5. Summary of the Claimed Subject Matter**

Independent claim 29 is directed to an injection blow molding machine having a turret 10 with at least three planar faces 12-16 (specification page 3, line 22 to page 4, line 2). Each of the planar faces carries at least one hollow core rod 18 (page 4, lines 2-5). The turret 10 is rotatable in an indexing motion to present each face 12-16 successively at a plurality of stations (page 4, lines

5-14). At one of the stations, a preform of an article is formed on the core rod 18 (page 4, lines 6-8). At a successive one of the stations, a blown article is formed from the preform on the core rod (page 4, lines 8-9). In the disclosure of the application, the blown article preferably is a plastic container (page 1, line 7). The apparatus of claim 29 includes a source 20 of compressed air and means, such as a pressure regulator 24 and/or a heater 26 and/or a cooler 28, for conditioning the compressed air from the source 20 (page 5, lines 3-14). There are means for circulating conditioned compressed air from the conditioning means through the core rod 18 at the preform injection station, and means for blocking circulation of compressed air from the conditioning means through the core rod at the preform blow station (page 6, lines 1-10). The means for circulating the compressed air include means for exhausting compressed air from the core rod at the injection station, and the means for blocking circulation of compressed air



blocks exhaust of spent air at the blow station (page 6, lines 1-10). In the preferred embodiment, this blocking means is illustrated in FIG. 6 as including a cam 60 suspended from a cam plate 58 for actuating a limit switch 62 and thereby blocking exhaust of air through the line 40 (page 6, lines 5-8).

Independent claim 28 contains all of the limitations of independent claim 29, and additionally recites at lines 16-19 means such as compressor 46 for compressing air exhausted from the core rod, and returning such compressed air to the means for circulating and conditioning the compressed air so that the compressed air exhausted from the core rod is returned to the core rod (page 5, lines 20-23).

## **6. Grounds of Rejection to be Reviewed on Appeal**

Independent claim 29 has been rejected over either Gatti 4,668,117 or Farrell 3,998,577 combined with Martell 4,955,804 and Ikeda 5,817,348.

Independent claim 28 has been rejected over either Gatti 4,668,177 or Farrell 3,998,577 combined with Martell 4,955,804 and Ikeda 5,817,348, and further combined with Gasmire 3,065,501.

Independent claims 28 and 29 will be separately argued in this Appeal Brief. For purposes of this appeal only, dependent claims 30-33 will be considered to stand or fall with parent independent claim 29.

### Scope and content of the cited references

Gatti discloses a turret-type injection blow molding machine in which coolant is fed from a manifold 32 to and from the core rod 15. The coolant can be either air or liquid (column 1, line 57). The disclosure of this reference is directed in particular to provision of bellows-type adapters 37,38 in the coolant flow circuit to accommodate motion of the core rod during blow molding. The disclosure of this reference is silent regarding control of coolant flow except to say that “coolant can be supplied as required” (column 3, lines 22-23).

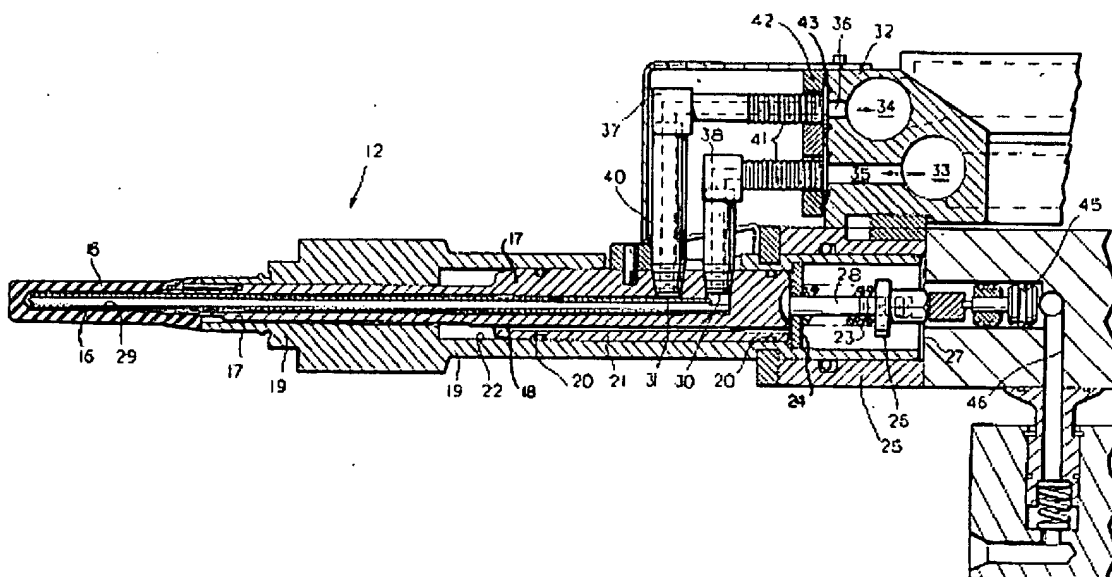


FIG. 2

Farrell discloses a core rod for an injection blow molding machine (column 1, lines 4-5). Coolant such as water (column 3, line 11) is circulated from an inlet 14 through a spiral path (FIG. 2) around a tube 15 and then to an outlet 13. A hollow rod 25 is disposed within tube 15 for gating passage of blow air. Thus, both of the primary Gatti and Farrell references are completely silent regarding control of coolant flow.

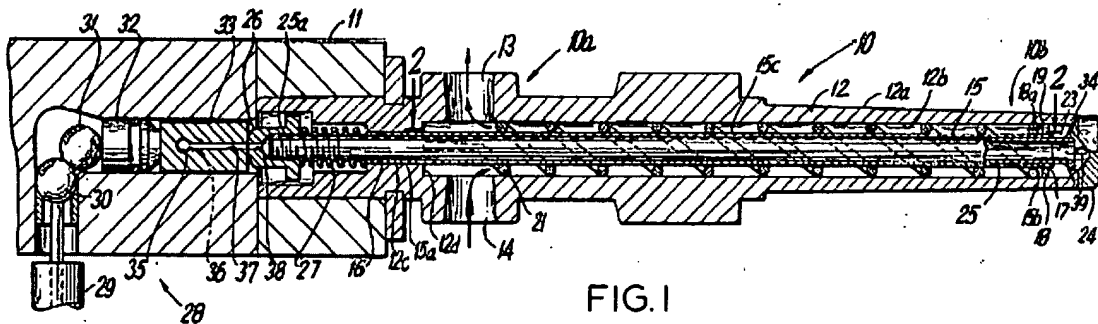
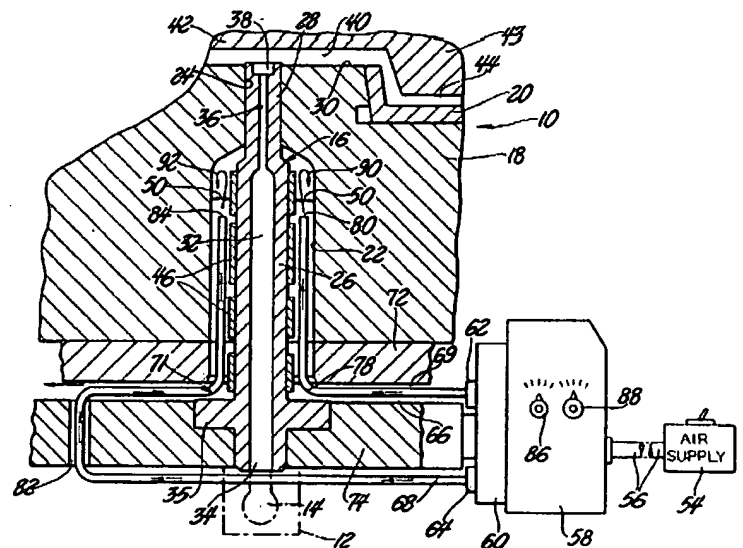


FIG. 1

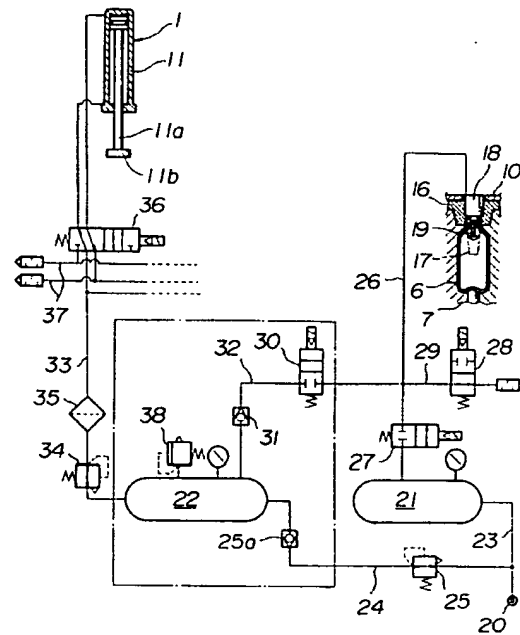
Martell 4,955,804 discloses a mold that includes a feeder tube 26 for supplying plastic from a hot runner system 12 to a mold space 44. Feeder tube 26 is externally surrounded by heater bands 46 to maintain the plastic at elevated temperature (column 2, lines 62-65). Heater bands 46 are surrounded by an airspace 50 to retard transfer of such heat energy to the mold body 18 (column 2, line 65 to column 3, line 9). Compressed air is directed into space 50 to enhance this isolation (column 3, lines 10-19).



This compressed air is exhausted to atmosphere. Thus, the compressed air in Martell is employed for a purpose completely different from and non-analogous to cooling of a core rod in an injection blow molding machine. The feeder tube 26 in Martell is not the same as or analogous to a core rod on which a preform is formed. The compressed air in Martell is not fed through the feeder tube and is not for cooling the feeder tube. In short, Martell is not relevant to coolant control in Gatti and/or Farrell.

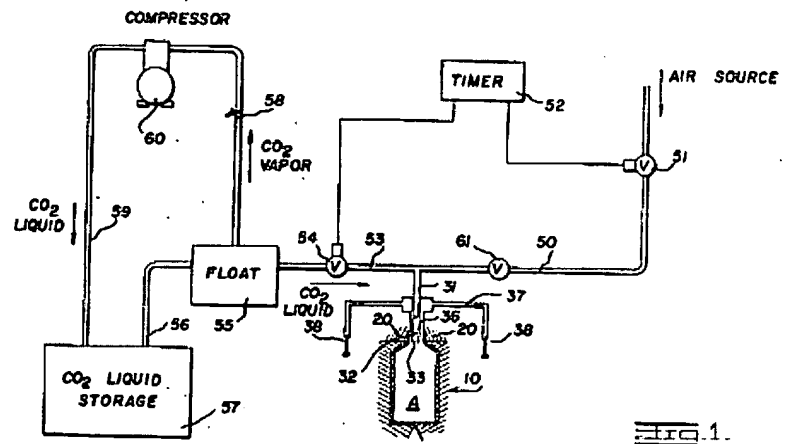
Ikeda 5,817,348 discloses a blow mold system in which high pressure air from a blow tank 21 is selectively fed to a mold for blow molding a container. Exhaust air from the blow mold is selectively fed to a lower pressure working air tank 22 for use in driving the operating mechanisms of the mold. There is no plastic injection disclosed in Ikeda, and more importantly no use of air from either tank 21 or 22 for cooling a core rod in an injection blow molding machine. Thus, there is no basis for combining Ikeda with Gotti and/or Farrell. Nor, for that matter, is there any basis for combining Ikeda with Martell.

Fig. 1





Gasmire 3,065,501 discloses a blow mold system in which carbon dioxide is used to cool (FIG. 1), or to both blow and cool (FIG. 5), the article in a blow mold 10. The float 55 in FIG. 1 prevents feed of carbon dioxide vapor to mold 10, and the compressor 60 receives the separated carbon dioxide vapor from the float 55 and returns the carbon dioxide to the liquid storage vessel 57. The reclaim unit 75 in FIG. 5 receives carbon dioxide vapor from float 55 and mold 10, and returns liquid carbon dioxide to the storage vessel 57. Once again, Gasmire does not in any way involve cooling of a core rod in an injection blow molding machine.



It is clear from the foregoing discussion that the Martell, Ikeda and Gasmire references have nothing whatever to do with cooling a core rod in an injection blow molding machine of the type disclosed in the primary Gatti and Farrell references. Indeed, the secondary references do not even relate to cooling operating mechanisms in a molding machine. The compressed air in Martell is used for isolating the feed tube heaters from the surrounding mold. The compressed air in Ikeda is used for blowing the molded article and operating the mold mechanisms. The liquid carbon dioxide in Gasmire is employed for cooling the blow molded article prior to

opening the mold (and in one embodiment for blowing the article), and not for cooling any component of the mold itself.

It is axiomatic that, to support the rejection of the present application claims, it is necessary that the prior art teach, suggest or provide incentive to modify the disclosures of the references in such a way as to meet the limitations of the rejected claims. *Uniroyal Inc. v. Rudkin-Wiley Corp.*, 837 F.2d 1044, 5 USPQ 2d 1434 (Fed. Cir. 1988); *In re Geiger*, 815 F.2d 686 (Fed. Cir. 1987); *Ex parte Clapp*, 227 USPQ 972 (POBA 1985). This is particularly true, of course, where the elements of the references would be required to coact with each other in a manner different from the way they coact in the reference disclosures, or where the key or distinguishing element of the appealed claims is completely lacking in the references.

[I]n order to meet the terms of the claims on appeal, the elements of the [prior art] device would have to be arranged in a manner different from that disclosed by [the art]. The elements of the reference would also be required to coact differently from the way they coact in the arrangement disclosed by the reference. The mere fact that a worker in the art could rearrange the parts of the reference device to meet the terms of the claims on appeal is not by itself sufficient to support a finding of obviousness. The prior art must provide motivation or reason for the worker in the art, without the benefit of applicant's specification, to make the necessary changes in the reference device.

*Ex parte Chicago Rawhide Mfg. Co.*, 223 USPQ 351, 353 (POBA 1984). See also *Fromsom v. Advanced Offset Plate, Inc.*, 755 F.2d 1549, 225 USPQ 26 (CAFC 1985); *In re Sernaker*, 702 F.2d 989, 217 USPQ 1 (CAFC 1983) and *Ex parte Stauber*, 208 USPQ 945, 946 (POBA 1980).

Simply stated:

It is wrong to use the [application claims] as a guide through the maze of prior art references, combining the right references in the right way so as to achieve the result of the claims [on appeal]. Monday

morning quarterbacking is quite improper when resolving the question of non-obviousness in a court of law.

*Orthopaedic Equipment Co., Inc. v. U.S.*, 702 F.2d 1005, 217 U.S.P.Q. 193, 199 (Fed. Cir. 1983).

See also *In re Fritch*, 972 F.2d 1260 23 U.S.P.Q.2d 1780 (Fed. Cir. 1992) (“It is impermissible to use the claimed invention as an instruction manual or “template” to piece together the teachings of the prior art.” 23 U.S.P.Q.2d at 1784); *In re Pavlecka*, 138 U.S.P.Q. 152 (CCPA 1953); *Ex parte Garrett*, 132 USPQ 514 (POBA 1961) .

**Independent claim 29 is patentable over Gatti 4,668,117 combined with Martell 4,955,804 and Ikeda 5,817,348**

Gatti discloses circulation of liquid or air coolant through a core rod “as required,” but fails to disclose or suggest that the coolant is circulated through the core rod at the injection station, and fails to disclose or suggest means for blocking circulation of the coolant through the core rod at the blow station. The Gatti reference fails specifically to disclose any means for blocking circulation of compressed air by blocking the exhaust of spent conditioned air from the core rod at the blow station. The Martell and Ikeda secondary references do not supply this deficiency of the Gatti reference with respect to independent claim 29.

**Independent claim 29 is patentable over Farrell 3,998,577 combined with Martell 4,955,804 and Ikeda 5,817,348**

The Farrell primary reference likewise fails to disclose or suggest any means for circulating conditioned compressed air through the core rod at an injection station but blocking circulation of the compressed air through the core rod at the blow station, particularly by blocking exhaust of spent conditioned air from the core rod at the blow station. Farrell merely discloses

circulation of coolant, such as water, through the core rod without describing how such circulation is controlled and at which stations of an injection blow molding machine. The secondary Martell and Ikeda references again fail to supply the deficiencies of Farrell insofar as independent claim 29 is concerned.

**Independent claim 28 is patentable over Gatti 4,668,117 combined with Martell 4,955,804, Ikeda 5,817,348 and Gasmire 3,065,501**

Independent claim 28 contains all of the limitations of claim 29, and is patentable over the combination of Gatti, Martell and Ikeda for reasons discussed above in connection with independent claim 29. Independent claim 28 additionally recites means for compressing the compressed air exhausted from the core rod and returning the compressed air to the core rod. Gasmire is cited relative to this limitation. However, Gasmire merely discloses use of a compressor 60 or a reclaim unit 75 for returning carbon dioxide vapor from the float 55 and/or the mold 70 to a carbon dioxide liquid storage vessel 57. In other words, this reference discloses at most that the carbon dioxide vapor can be reclaimed and reused for blowing the molded article and/or cooling the molded article after blowing. This disclosure is completely unrelated to recirculating air coolant from and to a core rod in an injection blow molding machine.

**Independent claim 28 is patentable over Farrell 3,998,577 combined with Martell 4,955,804, Ikeda 5,817,348 and Gasmire 3,065,501**

Independent claim 28 contains all of the limitations of claim 29, and is patentable over the combination of Farrell, Martell and Ikeda for reasons discussed above in connection with independent claim 29. Independent claim 28 additionally recites means for compressing the compressed air exhausted from the core rod and returning the compressed air to the core rod.

Gasmire is cited relative to this limitation. However, Gasmire merely discloses use of a compressor 60 or a reclaim unit 75 for returning carbon dioxide vapor from the float 55 and/or the mold 70 to a carbon dioxide liquid storage vessel 57. In other words, this reference discloses at most that the carbon dioxide vapor can be reclaimed and reused for blowing the molded article and/or cooling the molded article after blowing. This disclosure is completely unrelated to recirculating air coolant from and to a core rod in an injection blow molding machine.

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It therefore is believed and respectfully submitted that the rejection of claims 28-33 should be reversed, and that these claims should be allowed.

Please charge any fees associated with this submission to Account No. 15-0875 (Owens-Illinois).

Respectfully submitted,

REISING, ETHINGTON, BARNES,  
KISSELLE, P.C.

By 

Robert C. Collins  
Reg. No. 27,430  
Telephone (248) 689-3500  
Facsimile (248) 689-4071

## 8. Appendix of Appealed Claims

28.

1           An injection blow molding machine having a turret with at least three planar faces,  
2       each of the planar faces carrying at least one hollow core rod, the turret being rotatable by an  
3       indexing motion to present each face, successively, at a plurality of stations to form, at one of said  
4       stations, a preform of an article on said at least one core rod at said one of said stations, and then to  
5       form, at a successive one of said stations, a blown article from said preform on said at least one core  
6       rod, and apparatus for cooling said at least one core rod at said one of said stations, said apparatus  
7       comprising:

8       a source of compressed air;

9       means for conditioning compressed air from said source;

10           means for circulating conditioned compressed air from said means for conditioning  
11       compressed air through said at least one core rod at said one of said stations;

12           means for blocking circulation of compressed air from said means for conditioning  
13       compressed air through said at least one core rod at the successive one of said stations;

14           said means for circulating compressed air comprising means for exhausting  
15       compressed air from said at least one core rod at said one of said stations, and

16           means for compressing compressed air exhausted from said at least one core rod and  
17       returning said compressed air exhausted from said at least one core rod to said means for circulating  
18       compressed air for conditioning by said means for conditioning to return said compressed air  
19       exhausted from said at least one core rod to said at least one core rod,

20           wherein said means for blocking circulation of compressed air blocks the circulation

21 of compressed air by blocking the exhaust of spent conditioned air from the successive one of said  
22 stations.

29.

1 An injection blow molding machine having a turret with at least three planar faces,  
2 each of the planar faces carrying at least one hollow core rod, the turret being rotatable by an  
3 indexing motion to present each face, successively, at a plurality of stations to form, at one of said  
4 stations, a preform of an article on said at least one core rod at said one of said stations, and then  
5 to form, at a successive one of said stations, a blown article from said preform on said at least one  
6 core rod, and apparatus for cooling said at least one core rod at said one of said stations, said  
7 apparatus comprising:

8 a source of compressed air;

9 means for conditioning compressed air from said source;

10 means for circulating conditioned compressed air from said means for conditioning  
11 compressed air through said at least one core rod at said one of said stations; and

12 means for blocking circulation of compressed air from said means for conditioning  
13 compressed air through said at least one core rod at the successive one of said stations,

14 said means for circulating compressed air comprising means for exhausting  
15 compressed air from said at least one core rod at said one of said stations, and

16 wherein said means for blocking circulation of compressed air blocks the circulation  
17 of compressed air by blocking the exhaust of spent conditioned air from the successive one of said  
18 stations.

30.

1                   Apparatus according to claim 29 wherein said means for conditioning comprises  
2   pressure regulating means for regulating pressure of said compressed air.

31.

1                   Apparatus according to claim 29 wherein said means for conditioning comprises  
2   heater means for heating said compressed air.

32.

1   Apparatus according to claim 29 wherein said means for conditioning comprises cooler means for  
2   cooling said compressed air.

33.

1                   Apparatus according to claim 32 wherein said cooler means comprises means for  
2   injecting a spray of water into said compressed air.